AM2207 LB681 MMM - 02/28/2018

AM2207 LB681 MMM - 02/28/2018

## AMENDMENTS TO LB681

Introduced by Health and Human Services.

- 1 1. Strike the original sections and insert the following new
- 2 sections:
- 3 Section 1. Section 38-131, Reissue Revised Statutes of Nebraska, is
- 4 amended to read:
- 5 38-131 (1) An applicant for an initial license to practice as a
- 6 registered nurse, or a licensed practical nurse, a physical therapist, or
- 7 <u>a physical therapy assistant</u> or to practice a profession which is
- 8 authorized to prescribe controlled substances shall be subject to a
- 9 criminal background check. Except as provided in subsection (3) of this
- 10 section, the applicant shall submit with the application a full set of
- 11 fingerprints which shall be forwarded to the Nebraska State Patrol to be
- 12 submitted to the Federal Bureau of Investigation for a national criminal
- 13 history record information check. The applicant shall authorize release
- 14 of the results of the national criminal history record information check
- 15 to the department. The applicant shall pay the actual cost of the
- 16 fingerprinting and criminal background check.
- 17 (2) This section shall not apply to a dentist who is an applicant
- 18 for a dental locum tenens under section 38-1122, to a physician or
- 19 osteopathic physician who is an applicant for a physician locum tenens
- 20 under section 38-2036, or to a veterinarian who is an applicant for a
- 21 veterinarian locum tenens under section 38-3335.
- 22 (3) An applicant for a temporary educational permit as defined in
- 23 section 38-2019 shall have ninety days from the issuance of the permit to
- 24 comply with subsection (1) of this section and shall have his or her
- 25 permit suspended after such ninety-day period if the criminal background
- 26 check is not complete or revoked if the criminal background check reveals
- 27 that the applicant was not qualified for the permit.

I B681 MMM - 02/28/2018

Sec. 2. Section 38-3208, Reissue Revised Statutes of Nebraska, is 1

- amended to read: 2
- 3 38-3208 The Respiratory Care Practice Act shall not prohibit:
- (1) The practice of respiratory care which is an integral part of 4
- 5 the program of study by students enrolled in approved respiratory care
- 6 education programs;
- 7 (2) The gratuitous care, including the practice of respiratory care,
- 8 of the ill by a friend or member of the family or by a person who is not
- 9 licensed to practice respiratory care if such person does not represent
- himself or herself as a respiratory care practitioner; 10
- 11 (3) The practice of respiratory care by nurses, physicians,
- 12 physician assistants, physical therapists, or any other professional
- required to be licensed under the Uniform Credentialing Act when such 13
- 14 practice is within the scope of practice for which that person is
- 15 licensed to practice in this state;
- (4) The practice of any respiratory care practitioner of this state 16
- 17 or any other state or territory while employed by the federal government
- or any bureau or division thereof while in the discharge of his or her 18
- official duties; 19
- 20 (5) Techniques defined as pulmonary function testing and the
- 21 administration of aerosol and inhalant medications the to
- 22 cardiorespiratory system as it relates to pulmonary function technology
- 23 administered by a registered pulmonary function technologist credentialed
- 24 by the National Board for Respiratory Care or a certified pulmonary
- function technologist credentialed by the National Board for Respiratory 25
- 26 Care; or
- 27 (6) The performance of oxygen therapy or the initiation
- 28 noninvasive positive pressure ventilation by registered
- 29 polysomnographic technologist relating to the study of sleep disorders if
- 30 such procedures are performed or initiated under the supervision of a
- licensed physician at a facility accredited by the American Academy of 31

- 1 Sleep Medicine.
- 2 Sec. 3. The State of Nebraska adopts the Physical Therapy Licensure

AM2207

LB681

- 3 Compact in the form substantially as follows:
- 4 ARTICLE I
- 5 **PURPOSE**
- a. The purpose of the Physical Therapy Licensure Compact is to 6
- 7 facilitate interstate practice of physical therapy with the goal of
- 8 improving public access to physical therapy services. The practice of
- 9 physical therapy occurs in the state where the patient or client is
- located at the time of the patient or client encounter. The Compact 10
- preserves the regulatory authority of states to protect public health and 11
- safety through the current system of state licensure. 12
- 13 b. This Compact is designed to achieve the following objectives:
- 14 1. Increase public access to physical therapy services by providing
- 15 for the mutual recognition of other member state licenses;
- 16 2. Enhance the states' ability to protect the public's health and
- safety; 17
- 3. Encourage the cooperation of member states in regulating 18
- 19 multistate physical therapy practice;
- 20 4. Support spouses of relocating military members;
- 21 5. Enhance the exchange of licensure, investigative, and
- 22 disciplinary information between member states; and
- 23 6. Allow a remote state to hold a provider of services with a
- compact privilege in that state accountable to that state's practice 24
- 25 standards.
- 26 ARTICLE II
- 27 **DEFINITIONS**
- 28 As used in the Physical Therapy Licensure Compact, and except as
- 29 otherwise provided, the following definitions shall apply:
- 30 1. Active duty military means full-time duty status in the active
- uniformed service of the United States, including members of the National 31

- 1 Guard and Reserve on active duty orders pursuant to 10 U.S.C. 1209 and
- 2 1211.
- 3 2. Adverse action means disciplinary action taken by a physical
- 4 therapy licensing board based upon misconduct, unacceptable performance,
- 5 <u>or a combination of both.</u>
- 6 <u>3. Alternative program means a nondisciplinary monitoring or</u>
- 7 practice remediation process approved by a physical therapy licensing
- 8 <u>board</u>. This includes, but is not limited to, substance abuse issues.
- 9 <u>4. Commission means the Physical Therapy Compact Commission which is</u>
- 10 <u>the national administrative body whose membership consists of all states</u>
- 11 <u>that have enacted the Compact.</u>
- 12 <u>5. Compact privilege means the authorization granted by a remote</u>
- 13 state to allow a licensee from another member state to practice as a
- 14 physical therapist or work as a physical therapist assistant in the
- 15 <u>remote state under its laws and rules. The practice of physical therapy</u>
- 16 occurs in the member state where the patient or client is located at the
- 17 <u>time of the patient or client encounter.</u>
- 18 <u>6. Continuing competence means a requirement, as a condition of</u>
- 19 license renewal, to provide evidence of participation in, or completion
- 20 of, educational and professional activities relevant to practice or area
- 21 of work.
- 22 <u>7. Data system means a repository of information about licensees,</u>
- 23 including examination, licensure, investigative, compact privilege, and
- 24 <u>adverse action.</u>
- 25 8. Encumbered license means a license that a physical therapy
- 26 <u>licensing board has limited in any way.</u>
- 27 9. Executive board means a group of directors elected or appointed
- 28 to act on behalf of, and within the powers granted to them by, the
- 29 <u>Commission</u>.
- 30 <u>10. Home state means the member state that is the licensee's primary</u>
- 31 <u>state of residence.</u>

AM2207 AM2207 LB681 MMM - 02/28/2018

- 1 11. Investigative information means information, records, and
- 2 documents received or generated by a physical therapy licensing board
- 3 pursuant to an investigation.
- 12. Jurisprudence requirement means the assessment of an 4
- individual's knowledge of the laws and rules governing the practice of 5
- physical therapy in a state. 6
- 7 13. Licensee means an individual who currently holds an
- 8 authorization from the state to practice as a physical therapist or to
- 9 work as a physical therapist assistant.
- 10 14. Member state means a state that has enacted the Compact.
- 15. Party state means any member state in which a licensee holds a 11
- current license or compact privilege or is applying for a license or 12
- 13 compact privilege.
- 14 16. Physical therapist means an individual who is licensed by a
- 15 state to practice physical therapy.
- 16 17. Physical therapist assistant means an individual who is licensed
- 17 or certified by a state and who assists the physical therapist in
- selected components of physical therapy. 18
- 19 18. Physical therapy, physical therapy practice, and the practice of
- 20 physical therapy mean the care and services provided by or under the
- 21 <u>direction</u> and <u>supervision</u> of a <u>licensed</u> physical therapist.
- 22 19. Physical therapy licensing board means the agency of a state
- 23 that is responsible for the licensing and regulation of physical
- therapists and physical therapist assistants. 24
- 25 20. Remote state means a member state, other than the home state,
- 26 where a licensee is exercising or seeking to exercise the compact
- 27 privilege.
- 21. Rule means a regulation, principle, or directive promulgated by 28
- 29 the Commission that has the force of law.
- 30 22. State means any state, commonwealth, district, or territory of
- 31 the United States that regulates the practice of physical therapy.

- 1 ARTICLE III
- 2 <u>STATE PARTICIPATION IN THE COMPACT</u>
- a. To participate in the Physical Therapy Licensure Compact, a state
- 4 must:
- 5 <u>1. Participate fully in the Commission's data system, including</u>
- 6 using the Commission's unique identifier as defined in rules;
- 7 2. Have a mechanism in place for receiving and investigating
- 8 complaints about licensees;
- 9 <u>3. Notify the Commission, in compliance with the terms of the</u>
- 10 Compact and rules, of any adverse action or the availability of
- 11 <u>investigative information regarding a licensee;</u>
- 12 4. Fully implement a criminal background check requirement, within a
- 13 timeframe established by rule, by receiving the results of the Federal
- 14 Bureau of Investigation record search on criminal background checks and
- 15 <u>use the results in making licensure decisions in accordance with this</u>
- 16 Article;
- 17 <u>5. Comply with the rules of the Commission;</u>
- 18 <u>6. Utilize a recognized national examination as a requirement for</u>
- 19 <u>licensure pursuant to the rules of the Commission; and</u>
- 20 <u>7. Have continuing competence requirements as a condition for</u>
- 21 <u>license renewal.</u>
- 22 <u>b. Upon adoption of this statute, the member state shall have the</u>
- 23 <u>authority to obtain biometric-based information from each physical</u>
- 24 therapy licensure applicant and submit this information to the Federal
- 25 Bureau of Investigation for a criminal background check in accordance
- 26 with 28 U.S.C. 534 and 34 U.S.C. 40316.
- 27 <u>c. A member state shall grant the compact privilege to a licensee</u>
- 28 holding a valid unencumbered license in another member state in
- 29 <u>accordance with the terms of the Compact and rules.</u>
- 30 <u>d. Member states may charge a fee for granting a compact privilege.</u>
- 31 ARTICLE IV

- 1 COMPACT PRIVILEGE
- 2 <u>a. To exercise the compact privilege under the terms and provisions</u>
- 3 of the Physical Therapy Licensure Compact, the licensee shall:
- 4 <u>1. Hold a license in the home state;</u>
- 5 <u>2. Have no encumbrance on any state license;</u>
- 6 <u>3. Be eligible for a compact privilege in any member state in</u>
- 7 accordance with paragraphs d, g, and h of this Article;
- 8 <u>4. Have not had any adverse action against any license or compact</u>
- 9 privilege within the previous two years;
- 10 <u>5. Notify the Commission that the licensee is seeking the compact</u>
- 11 privilege within a remote state;
- 12 <u>6. Pay any applicable fees, including any state fee, for the compact</u>
- 13 <u>privilege;</u>
- 14 <u>7. Meet any jurisprudence requirements established by the remote</u>
- 15 state in which the licensee is seeking a compact privilege; and
- 16 8. Report to the Commission adverse action taken by any nonmember
- 17 state within thirty days from the date the adverse action is taken.
- 18 <u>b. The compact privilege is valid until the expiration date of the</u>
- 19 home license. The licensee must comply with the requirements of paragraph
- 20 <u>a of this Article to maintain the compact privilege in the remote state.</u>
- 21 <u>c. A licensee providing physical therapy in a remote state under the</u>
- 22 compact privilege shall function within the laws and regulations of the
- 23 <u>remote state.</u>
- 24 <u>d. A licensee providing physical therapy in a remote state is</u>
- 25 <u>subject to that state's regulatory authority. A remote state may, in</u>
- 26 accordance with due process and that state's laws, remove a licensee's
- 27 compact privilege in the remote state for a specific period of time,
- 28 impose fines, or take any other necessary actions to protect the health
- 29 and safety of its citizens. The licensee is not eligible for a compact
- 30 privilege in any state until the specific time for removal has passed and
- 31 <u>all fines are paid.</u>

- e. If a home state license is encumbered, the licensee shall lose
- 2 the compact privilege in any remote state until the following occur:
- 3 1. The home state license is no longer encumbered; and
- 4 2. Two years have elapsed from the date of the adverse action.
- 5 <u>f. Once an encumbered license in the home state is restored to good</u>
- 6 standing, the licensee must meet the requirements of paragraph a of this
- 7 Article to obtain a compact privilege in any remote state.
- g. If a licensee's compact privilege in any remote state is removed,
- 9 the individual shall lose the compact privilege in any remote state until
- 10 the following occur:
- 11 <u>1. The specific period of time for which the compact privilege was</u>
- 12 <u>removed has ended;</u>
- 13 2. All fines have been paid; and
- 14 <u>3. Two years have elapsed from the date of the adverse action.</u>
- 15 <u>h. Once the requirements of paragraph g of this Article have been</u>
- 16 met, the licensee must meet the requirements in paragraph a of this
- 17 Article to obtain a compact privilege in a remote state.
- 18 ARTICLE V
- 19 ACTIVE DUTY MILITARY PERSONNEL OR THEIR SPOUSES
- 20 <u>A licensee who is active duty military or is the spouse of an</u>
- 21 <u>individual who is active duty military may designate one of the following</u>
- 22 <u>as the home state:</u>
- 23 <u>a. Home of record;</u>
- b. Permanent change of station (PCS); or
- 25 c. State of current residence if it is different than the PCS state
- 26 <u>or home of record.</u>
- 27 ARTICLE VI
- 28 ADVERSE ACTIONS
- 29 <u>a. A home state shall have exclusive power to impose adverse action</u>
- 30 <u>against a license issued by the home state.</u>
- b. A home state may take adverse action based on the investigative

AM2207 AM2207 LB681 MMM - 02/28/2018

1 information of a remote state, so long as the home state follows its own

- 2 procedures for imposing adverse action.
- 3 c. Nothing in the Physical Therapy Licensure Compact shall override
- a member state's decision that participation in an alternative program 4
- 5 may be used in lieu of adverse action and that such participation shall
- 6 remain nonpublic if required by the member state's laws. Member states
- 7 must require licensees who enter any alternative programs in lieu of
- 8 discipline to agree not to practice in any other member state during the
- 9 term of the alternative program without prior authorization from such
- 10 other member state.
- d. Any member state may investigate actual or alleged violations of 11
- the statutes and rules authorizing the practice of physical therapy in 12
- 13 any other member state in which a physical therapist or physical
- 14 therapist assistant holds a license or compact privilege.
- 15 e. A remote state shall have the authority to:
- 16 1. Take adverse actions as set forth in paragraph d of Article IV
- 17 against a licensee's compact privilege in the state;
- 2. Issue subpoenas for both hearings and investigations that require 18
- 19 the attendance and testimony of witnesses, and the production of
- 20 evidence. Subpoenas issued by a physical therapy licensing board in a
- 21 party state for the attendance and testimony of witnesses, or the
- 22 production of evidence from another party state, shall be enforced in the
- 23 latter state by any court of competent jurisdiction, according to the
- 24 practice and procedure of that court applicable to subpoenas issued in
- proceedings pending before it. The issuing authority shall pay any 25
- 26 witness fees, travel expenses, mileage, and other fees required by the
- 27 service statutes of the state where the witnesses or evidence are
- 28 located; and
- 29 3. If otherwise permitted by state law, recover from the licensee
- 30 the costs of investigations and disposition of cases resulting from any
- 31 adverse action taken against that licensee.

- 1 <u>f. Joint Investigations</u>
- 2 <u>1. In addition to the authority granted to a member state by its</u>
- 3 respective physical therapy practice act or other applicable state law, a
- 4 member state may participate with other member states in joint
- 5 <u>investigations of licensees.</u>
- 6 <u>2. Member states shall share any investigative, litigation, or</u>
- 7 compliance materials in furtherance of any joint or individual
- 8 <u>investigation initiated under the Compact.</u>
- 9 ARTICLE VII
- 10 ESTABLISHMENT OF THE PHYSICAL THERAPY COMPACT COMMISSION
- 11 <u>a. The member states hereby create and establish a joint public</u>
- 12 agency known as the Physical Therapy Compact Commission:
- 13 1. The Commission is an instrumentality of the Compact states.
- 14 <u>2. Venue is proper and judicial proceedings by or against the</u>
- 15 Commission shall be brought solely and exclusively in a court of
- 16 <u>competent jurisdiction where the principal office of the Commission is</u>
- 17 located. The Commission may waive venue and jurisdictional defenses to
- 18 the extent it adopts or consents to participate in alternative dispute
- 19 resolution proceedings.
- 20 <u>3. Nothing in the Physical Therapy Licensure Compact shall be</u>
- 21 <u>construed to be a waiver of sovereign immunity.</u>
- 22 <u>b. Membership, Voting, and Meetings</u>
- 23 <u>1. Each member state shall have and be limited to one delegate</u>
- 24 <u>selected by that member state's physical therapy licensing board.</u>
- 25 2. The delegate shall be a current member of the physical therapy
- 26 <u>licensing board, who is a physical therapist, a physical therapist</u>
- 27 <u>assistant</u>, a public member, or the administrator of the physical therapy
- 28 <u>licensing board</u>.
- 29 <u>3. Any delegate may be removed or suspended from office as provided</u>
- 30 by the law of the state from which the delegate is appointed.
- 31 4. The member state physical therapy licensing board shall fill any

AM2207 LB681 MMM - 02/28/2018

- 1 <u>vacancy occurring in the Commission.</u>
- 2 <u>5. Each delegate shall be entitled to one vote with regard to the</u>
- 3 promulgation of rules and creation of bylaws and shall otherwise have an
- 4 opportunity to participate in the business and affairs of the Commission.
- 5 <u>6. A delegate shall vote in person or by such other means as</u>
- 6 provided in the bylaws. The bylaws may provide for delegates'
- 7 participation in meetings by telephone or other means of communication.
- 8 <u>7. The Commission shall meet at least once during each calendar</u>
- 9 year. Additional meetings shall be held as set forth in the bylaws.
- 10 <u>c. The Commission shall have the following powers and duties:</u>
- 11 <u>1. Establish the fiscal year of the Commission;</u>
- 12 <u>2. Establish bylaws;</u>
- 3. Maintain its financial records in accordance with the bylaws;
- 4. Meet and take such actions as are consistent with the Compact and
- 15 the bylaws;
- 16 5. Promulgate uniform rules to facilitate and coordinate
- 17 <u>implementation and administration of the Compact. The rules shall have</u>
- 18 the force and effect of law and shall be binding in all member states;
- 19 6. Bring and prosecute legal proceedings or actions in the name of
- 20 the Commission, provided that the standing of any state physical therapy
- 21 <u>licensing board to sue or be sued under applicable law shall not be</u>
- 22 <u>affected;</u>
- 23 <u>7. Purchase and maintain insurance and bonds;</u>
- 24 8. Borrow, accept, or contract for services of personnel, including,
- 25 but not limited to, employees of a member state;
- 26 9. Hire employees, elect or appoint officers, fix compensation,
- 27 define duties, grant such individuals appropriate authority to carry out
- 28 the purposes of the Compact, and to establish the Commission's personnel
- 29 policies and programs relating to conflicts of interest, qualifications
- 30 of personnel, and other related personnel matters;
- 31 <u>10. Accept any and all appropriate donations and grants of money,</u>

- 1 equipment, supplies, materials, and services, and to receive, utilize,
- 2 and dispose of the same; provided that at all times the Commission shall
- 3 avoid any appearance of impropriety or conflict of interest;
- 4 11. Lease, purchase, accept appropriate gifts or donations of, or
- 5 otherwise to own, hold, improve, or use, any property, real, personal, or
- 6 mixed; provided that at all times the Commission shall avoid any
- 7 appearance of impropriety;
- 8 <u>12. Sell, convey, mortgage, pledge, lease, exchange, abandon, or</u>
- 9 <u>otherwise dispose of any property real, personal, or mixed;</u>
- 10 <u>13. Establish a budget and make expenditures;</u>
- 11 <u>14. Borrow money;</u>
- 15. Appoint committees, including standing committees composed of
- 13 <u>members</u>, <u>state regulators</u>, <u>state legislators or their representatives</u>,
- 14 <u>and consumer representatives, and such other interested persons as may be</u>
- 15 <u>designated in the Compact and the bylaws;</u>
- 16. Provide and receive information from, and cooperate with, law
- 17 enforcement agencies;
- 18 <u>17. Establish and elect an executive board; and</u>
- 19 18. Perform such other functions as may be necessary or appropriate
- 20 <u>to achieve the purposes of the Compact consistent with the state</u>
- 21 <u>regulation of physical therapy licensure and practice.</u>
- 22 <u>d. The Executive Board</u>
- 23 The executive board shall have the power to act on behalf of the
- 24 <u>Commission according to the terms of the Compact.</u>
- 25 1. The executive board shall be composed of nine members:
- 26 A. Seven voting members who are elected by the Commission from the
- 27 <u>current membership of the Commission;</u>
- 28 B. One ex officio, nonvoting member from the recognized national
- 29 physical therapy professional association; and
- 30 <u>C. One ex officio, nonvoting member from the recognized membership</u>
- 31 <u>organization of the physical therapy licensing boards.</u>

- 1 <u>2. The ex officio members will be selected by their respective</u>
- 2 <u>organizations</u>.
- 3 <u>3. The Commission may remove any member of the executive</u> board as
- 4 provided in bylaws.
- 5 <u>4. The executive board shall meet at least annually.</u>
- 6 5. The executive board shall have the following duties and
- 7 responsibilities:
- 8 A. Recommend to the entire Commission changes to the rules or
- 9 bylaws, changes to the Compact, fees paid by Compact member states such
- 10 <u>as annual dues, and any commission Compact fee charged to licensees for</u>
- 11 the compact privilege;
- 12 <u>B. Ensure Compact administration services are appropriately</u>
- 13 provided, contractual or otherwise;
- 14 <u>C. Prepare and recommend the budget;</u>
- D. Maintain financial records on behalf of the Commission;
- 16 E. Monitor Compact compliance of member states and provide
- 17 <u>compliance reports to the Commission;</u>
- 18 F. Establish additional committees as necessary; and
- 19 <u>G. Other duties as provided in rules or bylaws.</u>
- 20 <u>e. Meetings of the Commission</u>
- 21 <u>1. All meetings shall be open to the public, and public notice of</u>
- 22 <u>meetings shall be given in the same manner as required under the</u>
- 23 <u>rulemaking provisions in Article IX.</u>
- 2. The Commission or the executive board or other committees of the
- 25 Commission may convene in a closed, nonpublic meeting if the Commission
- 26 or executive board or other committees of the Commission must discuss:
- 27 A. Noncompliance of a member state with its obligations under the
- 28 Compact;
- 29 <u>B. The employment, compensation, discipline, or other matters,</u>
- 30 practices or procedures related to specific employees or other matters
- 31 related to the Commission's internal personnel practices and procedures;

- 1 <u>C. Current, threatened, or reasonably anticipated litigation;</u>
- 2 <u>D. Negotiation of contracts for the purchase, lease, or sale of</u>
- 3 goods, services, or real estate;
- 4 E. Accusing any person of a crime or formally censuring any person;
- 5 <u>F. Disclosure of trade secrets or commercial or financial</u>
- 6 <u>information that is privileged or confidential;</u>
- 7 G. Disclosure of information of a personal nature where disclosure
- 8 would constitute a clearly unwarranted invasion of personal privacy;
- 9 <u>H. Disclosure of investigative records compiled for law enforcement</u>
- 10 purposes;
- 11 <u>I. Disclosure of information related to any investigative reports</u>
- 12 prepared by or on behalf of or for use of the Commission or other
- 13 committee charged with responsibility of investigation or determination
- 14 of compliance issues pursuant to the Compact; or
- 15 <u>J. Matters specifically exempted from disclosure by federal or</u>
- 16 member state statute.
- 17 <u>3. If a meeting, or portion of a meeting, is closed pursuant to this</u>
- 18 Article, the Commission's legal counsel or designee shall certify that
- 19 the meeting may be closed and shall reference each relevant exempting
- 20 provision.
- 21 <u>4. The Commission shall keep minutes that fully and clearly describe</u>
- 22 <u>all matters discussed in a meeting and shall provide a full and accurate</u>
- 23 summary of actions taken, and the reasons therefore, including a
- 24 description of the views expressed. All documents considered in
- 25 connection with an action shall be identified in such minutes. All
- 26 <u>minutes and documents of a closed meeting shall remain under seal,</u>
- 27 subject to release by a majority vote of the Commission or order of a
- 28 <u>court of competent jurisdiction.</u>
- 29 <u>f. Financing of the Commission</u>
- 30 <u>1. The Commission shall pay, or provide for the payment of, the</u>
- 31 reasonable expenses of its establishment, organization, and ongoing

AM2207 AM2207 LB681 MMM - 02/28/2018

- 1 activities.
- 2 2. The Commission may accept any and all appropriate revenue
- 3 sources, donations, and grants of money, equipment, supplies, materials,
- 4 and services.
- 5 3. The Commission may levy on and collect an annual assessment from
- each member state or impose fees on other parties to cover the cost of 6
- 7 the operations and activities of the Commission and its staff, which must
- 8 be in a total amount sufficient to cover its annual budget as approved
- 9 each year for which revenue is not provided by other sources. The
- aggregate annual assessment amount shall be allocated based upon a 10
- 11 formula to be determined by the Commission, which shall promulgate a rule
- 12 binding upon all member states.
- 13 4. The Commission shall not incur obligations of any kind prior to
- 14 securing the funds adequate to meet the same; nor shall the Commission
- 15 pledge the credit of any of the member states, except by and with the
- 16 authority of the member state.
- 17 5. The Commission shall keep accurate accounts of all receipts and
- disbursements. The receipts and disbursements of the Commission shall be 18
- 19 subject to the audit and accounting procedures established under its
- bylaws. However, all receipts and disbursements of funds handled by the 20
- 21 Commission shall be audited yearly by a certified or licensed public
- 22 accountant, and the report of the audit shall be included in and become
- 23 part of the annual report of the Commission.
- 24 g. Qualified Immunity, Defense, and Indemnification
- 25 1. The members, officers, executive director, employees, and
- 26 representatives of the Commission shall have no greater liability, either
- 27 personally or in their official capacity, for any claim for damage to or
- 28 loss of property or personal injury or other civil liability caused by or
- 29 arising out of any actual or alleged act, error, or omission that
- 30 occurred, or that the person against whom the claim is made had a
- 31 reasonable basis for believing occurred, within the scope of Commission

AM2207 LB681 MMM - 02/28/2018

AM2207 LB681 MMM - 02/28/2018

- employment, duties, or responsibilities, than a state employee would have 1
- 2 under the same or similar circumstances; provided that nothing in this
- 3 paragraph shall be construed to protect any such person from suit or
- liability for any damage, loss, injury, or liability caused by the 4
- intentional or willful or wanton misconduct of that person. 5
- 6 2. The Commission shall defend any member, officer, executive
- 7 director, employee, or representative of the Commission in any civil
- 8 action seeking to impose liability arising out of any actual or alleged
- 9 act, error, or omission that occurred within the scope of Commission
- employment, duties, or responsibilities, or that the person against whom 10
- 11 the claim is made had a reasonable basis for believing occurred within
- 12 the scope of Commission employment, duties, or responsibilities; provided
- 13 that nothing in this paragraph shall be construed to prohibit that person
- 14 from retaining his or her own counsel; and provided further, that the
- 15 actual or alleged act, error, or omission did not result from that
- person's intentional or willful or wanton misconduct. 16
- 17 3. The Commission shall indemnify and hold harmless any member,
- officer, executive director, employee, or representative of the 18
- 19 Commission for the amount of any settlement or judgment obtained against
- 20 that person arising out of any actual or alleged act, error, or omission
- 21 that occurred within the scope of Commission employment, duties, or
- 22 responsibilities, or that such person had a reasonable basis for
- 23 believing occurred within the scope of Commission employment, duties, or
- 24 responsibilities, provided that the actual or alleged act, error, or
- 25 omission did not result from the intentional or willful or wanton
- 26 misconduct of that person.
- 27 ARTICLE VIII
- 28 DATA SYSTEM
- 29 a. The Commission shall provide for the development, maintenance,
- 30 and utilization of a coordinated data base and reporting system
- 31 containing licensure, adverse action, and investigative information on

- 1 <u>all licensed individuals in member states.</u>
- 2 <u>b. Notwithstanding any other provision of state law to the contrary,</u>
- 3 a member state shall submit a uniform data set to the data system on all
- 4 individuals to whom the Physical Therapy Licensure Compact is applicable
- 5 <u>as required by the rules of the Commission, including:</u>
- 6 <u>1. Identifying information;</u>
- 7 2. Licensure data;
- 8 3. Adverse actions against a license or compact privilege;
- 9 <u>4. Nonconfidential information related to alternative program</u>
- 10 participation;
- 11 <u>5. Any denial of application for licensure, and the reason for such</u>
- 12 <u>denial; and</u>
- 13 6. Other information that may facilitate the administration of the
- 14 <u>Compact, as determined by the rules of the Commission.</u>
- 15 <u>c. Investigative information pertaining to a licensee in any member</u>
- 16 state will only be available to other party states.
- 17 <u>d. The Commission shall promptly notify all member states of any</u>
- 18 adverse action taken against a licensee or an individual applying for a
- 19 license. Adverse action information pertaining to a licensee in any
- 20 member state will be available to any other member state.
- 21 <u>e. Member states contributing information to the data system may</u>
- 22 <u>designate information that may not be shared with the public without the</u>
- 23 <u>express permission of the contributing state.</u>
- 24 <u>f. Any information submitted to the data system that is subsequently</u>
- 25 required to be expunged by the laws of the member state contributing the
- 26 <u>information shall be removed from the data system.</u>
- 27 <u>ARTICLE IX</u>
- 28 RULEMAKING
- 29 <u>a. The Commission shall exercise its rulemaking powers pursuant to</u>
- 30 the criteria set forth in this Article and the rules adopted thereunder.
- 31 Rules and amendments shall become binding as of the date specified in

- 1 each rule or amendment.
- 2 b. If a majority of the legislatures of the member states rejects a

AM2207

LB681

- 3 rule, by enactment of a statute or resolution in the same manner used to
- 4 adopt the Physical Therapy Licensure Compact within four years of the
- date of adoption of the rule, then such rule shall have no further force 5
- and effect in any member state. 6
- 7 c. Rules or amendments to the rules shall be adopted at a regular or
- 8 special meeting of the Commission.
- 9 d. Prior to promulgation and adoption of a final rule or rules by
- 10 the Commission, and at least thirty days in advance of the meeting at
- 11 which the rule will be considered and voted upon, the Commission shall
- file a notice of proposed rulemaking: 12
- 13 1. On the web site of the Commission or other publicly accessible
- 14 platform; and
- 15 2. On the web site of each member state physical therapy licensing
- 16 board or other publicly accessible platform or the publication in which
- 17 each state would otherwise publish proposed rules.
- e. The notice of proposed rulemaking shall include: 18
- 19 1. The proposed time, date, and location of the meeting in which the
- 20 rule will be considered and voted upon;
- 21 2. The text of the proposed rule or amendment and the reason for the
- 22 proposed rule;
- 23 3. A request for comments on the proposed rule from any interested
- 24 person; and
- 25 4. The manner in which interested persons may submit notice to the
- 26 Commission of their intention to attend the public hearing and any
- written comments. 27
- 28 f. Prior to adoption of a proposed rule, the Commission shall allow
- 29 persons to submit written data, facts, opinions, and arguments, which
- 30 shall be made available to the public.
- 31 g. The Commission shall grant an opportunity for a public hearing

- 1 before it adopts a rule or amendment if a hearing is requested by:
- 2 1. At least twenty-five persons;
- 3 <u>2. A state or federal governmental subdivision or agency; or</u>
- 4 3. An association having at least twenty-five members.
- 5 <u>h. If a hearing is held on the proposed rule or amendment, the</u>
- 6 Commission shall publish the place, time, and date of the scheduled
- 7 public hearing. If the hearing is held via electronic means, the
- 8 <u>Commission shall publish the mechanism for access to the electronic</u>
- 9 <u>hearing.</u>
- 10 <u>1. All persons wishing to be heard at the hearing shall notify the</u>
- 11 <u>executive director of the Commission or other designated member in</u>
- 12 <u>writing of their desire to appear and testify at the hearing not less</u>
- 13 than five business days before the scheduled date of the hearing.
- 2. Hearings shall be conducted in a manner providing each person who
- 15 wishes to comment a fair and reasonable opportunity to comment orally or
- 16 in writing.
- 17 <u>3. All hearings will be recorded. A copy of the recording will be</u>
- 18 <u>made available on request.</u>
- 4. Nothing in this section shall be construed as requiring a
- 20 <u>separate hearing on each rule. Rules may be grouped for the convenience</u>
- 21 of the Commission at hearings required by this Article.
- 22 <u>i. Following the scheduled hearing date, or by the close of business</u>
- 23 on the scheduled hearing date if the hearing was not held, the Commission
- 24 <u>shall consider all written and oral comments received.</u>
- 25 j. If no written notice of intent to attend the public hearing by
- 26 interested parties is received, the Commission may proceed with
- 27 promulgation of the proposed rule without a public hearing.
- 28 <u>k. The Commission shall, by majority vote of all members, take final</u>
- 29 <u>action on the proposed rule and shall determine the effective date of the</u>
- 30 <u>rule, if any, based on the rulemaking record and the full text of the</u>
- 31 <u>rule.</u>

1 1. Upon determination that an emergency exists, the Commission may

AM2207

LB681

- 2 consider and adopt an emergency rule without prior notice, opportunity
- 3 for comment, or hearing, provided that the usual rulemaking procedures
- provided in the Compact and in this section shall be retroactively 4
- 5 applied to the rule as soon as reasonably possible, in no event later
- than ninety days after the effective date of the rule. For the purposes 6
- 7 of this paragraph, an emergency rule is one that must be adopted
- 8 immediately in order to:
- 1. Meet an imminent threat to public health, safety, or welfare; 9
- 10 2. Prevent a loss of Commission or member state funds;
- 11 3. Meet a deadline for the promulgation of an administrative rule
- 12 that is established by federal law or rule; or
- 13 4. Protect public health and safety.
- 14 m. The Commission or an authorized committee of the Commission may
- 15 direct revisions to a previously adopted rule or amendment for purposes
- of correcting typographical errors, errors in format, errors in 16
- consistency, or grammatical errors. Public notice of any revisions shall 17
- be posted on the web site of the Commission. The revision shall be 18
- 19 subject to challenge by any person for a period of thirty days after
- 20 posting. The revision may be challenged only on grounds that the revision
- 21 results in a material change to a rule. A challenge shall be made in
- 22 writing, and delivered to the chair of the Commission prior to the end of
- the notice period. If no challenge is made, the revision will take effect 23
- 24 without further action. If the revision is challenged, the revision may
- 25 not take effect without the approval of the Commission.
- 26 ARTICLE X
- 27 OVERSIGHT, DISPUTE RESOLUTION, AND ENFORCEMENT
- 28 a. Oversight
- 29 1. The executive, legislative, and judicial branches of state
- governmen<u>t in each member state shall enforce the Physical Therapy</u> 30
- 31 Licensure Compact and take all actions necessary and appropriate to

AM2207 AM2207 LB681 MMM - 02/28/2018

- 1 effectuate the Compact's purposes and intent. The provisions of the
- Compact and the rules promulgated under the Compact shall have standing 2
- 3 as statutory law.
- 2. All courts shall take judicial notice of the Compact and the 4
- 5 rules in any judicial or administrative proceeding in a member state
- pertaining to the subject matter of the Compact which may affect the 6
- 7 powers, responsibilities, or actions of the Commission.
- 8 3. The Commission shall be entitled to receive service of process in
- 9 any such proceeding and shall have standing to intervene in such a
- proceeding for all purposes. Failure to provide service of process to the 10
- 11 Commission shall render a judgment or order void as to the Commission,
- 12 the Compact, or promulgated rules.
- 13 b. Default, Technical Assistance, and Termination
- 14 1. If the Commission determines that a member state has defaulted in
- 15 the performance of its obligations or responsibilities under the Compact
- or the promulgated rules, the Commission shall: 16
- 17 A. Provide written notice to the defaulting state and other member
- states of the nature of the default, the proposed means of curing the 18
- 19 default, or any other action to be taken by the Commission; and
- 20 B. Provide remedial training and specific technical assistance
- 21 regarding the default.
- 22 2. If a state in default fails to cure the default, the defaulting
- 23 state may be terminated from the Compact upon an affirmative vote of a
- 24 majority of the member states, and all rights, privileges, and benefits
- 25 conferred by the Compact may be terminated on the effective date of
- 26 termination. A cure of the default does not relieve the offending state
- 27 of obligations or liabilities incurred during the period of default.
- 3. Termination of membership in the Compact shall be imposed only 28
- 29 after all other means of securing compliance have been exhausted. Notice
- 30 of intent to suspend or terminate shall be given by the Commission to the
- 31 governor, the majority and minority leaders of the defaulting state's

AM2207 LB681 MMM - 02/28/2018

- 1 legislature or the Speaker if no such leaders exist, and each of the
- 2 member states.
- 3 4. A state that has been terminated is responsible for all
- assessments, obligations, and liabilities incurred through the effective 4
- 5 date of termination, including obligations that extend beyond the
- effective date of termination. 6
- 7 5. The Commission shall not bear any costs related to a state that
- 8 is found to be in default or that has been terminated from the Compact,
- unless agreed upon in writing between the Commission and the defaulting 9
- 10 state.
- 6. The defaulting state may appeal the action of the Commission by 11
- petitioning the United States District Court for the District of Columbia 12
- 13 or the federal district where the Commission has its principal offices.
- 14 The prevailing member shall be awarded all costs of such litigation,
- 15 including reasonable attorney's fees.
- 16 c. Dispute Resolution
- 17 1. Upon request by a member state, the Commission shall attempt to
- resolve disputes related to the Compact that arise among member states 18
- 19 and between member and nonmember states.
- 20 2. The Commission shall promulgate a rule providing for both
- 21 mediation and binding dispute resolution for disputes as appropriate.
- 22 d. Enforcement
- 23 1. The Commission, in the reasonable exercise of its discretion,
- shall enforce the provisions and rules of the Compact. 24
- 25 2. By majority vote, the Commission may initiate legal action in the
- 26 United States District Court for the District of Columbia or the federal
- 27 district where the Commission has its principal offices against a member
- state in default to enforce compliance with the Compact and its 28
- 29 promulgated rules and bylaws. The relief sought may include both
- 30 injunctive relief and damages. In the event judicial enforcement is
- 31 necessary, the prevailing member shall be awarded all costs of such

- 1 <u>litigation</u>, <u>including reasonable attorney's fees</u>.
- 2 <u>3. The remedies in this Article shall not be the exclusive remedies</u>
- 3 of the Commission. The Commission may pursue any other remedies available
- 4 under federal or state law.
- 5 ARTICLE XI
- 6 DATE OF IMPLEMENTATION OF THE INTERSTATE COMMISSION FOR PHYSICAL
- 7 THERAPY PRACTICE AND ASSOCIATED RULES, WITHDRAWAL, AND AMENDMENT
- 8 <u>a. The Physical Therapy Licensure Compact shall come into effect on</u>
- 9 the date on which the Compact is enacted into law in the tenth member
- 10 state. The provisions, which become effective at that time, shall be
- 11 limited to the powers granted to the Commission relating to assembly and
- 12 <u>the promulgation of rules. Thereafter, the Commission shall meet and</u>
- 13 <u>exercise rulemaking powers necessary to the implementation and</u>
- 14 <u>administration of the Compact.</u>
- 15 <u>b. Any state that joins the Compact subsequent to the Commission's</u>
- 16 initial adoption of the rules shall be subject to the rules as they exist
- on the date on which the Compact becomes law in that state. Any rule that
- 18 has been previously adopted by the Commission shall have the full force
- 19 and effect of law on the day the Compact becomes law in that state.
- 20 <u>c. Any member state may withdraw from the Compact by enacting a</u>
- 21 <u>statute repealing the same.</u>
- 22 <u>1. A member state's withdrawal shall not take effect until six</u>
- 23 <u>months after enactment of the repealing statute.</u>
- 2. Withdrawal shall not affect the continuing requirement of the
- 25 withdrawing state's physical therapy licensing board to comply with the
- 26 <u>investigative</u> and adverse action reporting requirements of the Compact
- 27 prior to the effective date of withdrawal.
- 28 d. Nothing contained in the Compact shall be construed to invalidate
- 29 <u>or prevent any physical therapy licensure agreement or other cooperative</u>
- 30 <u>arrangement between a member state and a nonmember state that does not</u>
- 31 <u>conflict with the Compact.</u>

- 1 <u>e. The Compact may be amended by the member states. No amendment to</u>
- 2 <u>the Compact shall become effective and binding upon any member state</u>
- 3 <u>until it is enacted into the laws of all member states.</u>
- 4 ARTICLE XII
- 5 <u>CONSTRUCTION AND SEVERABILITY</u>
- 6 The Physical Therapy Licensure Compact shall be liberally construed
- 7 so as to effectuate the purposes of the Compact. The provisions of the
- 8 Compact shall be severable and if any phrase, clause, sentence, or
- 9 provision of the Compact is declared to be contrary to the constitution
- 10 of any party state or of the United States or the applicability thereof
- 11 to any government, agency, person, or circumstance is held invalid, the
- 12 validity of the remainder of the Compact and the applicability thereof to
- 13 any government, agency, person, or circumstance shall not be affected
- 14 thereby. If the Compact shall be held contrary to the constitution of any
- 15 party state, the Compact shall remain in full force and effect as to the
- 16 remaining party states and in full force and effect as to the party state
- 17 <u>affected as to all severable matters.</u>
- 18 Sec. 4. Original sections 38-131 and 38-3208, Reissue Revised
- 19 Statutes of Nebraska, are repealed.